

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Masahisa KOSAKA

Serial No.: New Application

Filing Date: January 5, 2004

For: OPTICAL MATERIALS HAVING  
GOOD ULTRAVIOLET  
ABSORBABILITY AND METHOD FOR  
PRODUCING THEM

Examiner: Rachel F. Gorr

Group Art Unit: 1711

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 10/072,871, filed February 12, 2002, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

☒ With the application; accordingly, no fee or separate requirements are required.

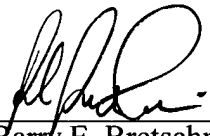
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **279222000502**.

Dated: January 5, 2004

Respectfully submitted,

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<b>Form PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>	Docket Number 279222000502	Application Number TO BE ASSIGNED
	Applicant Masahisa KOSAKA	
	Filing Date September 20, 2000	Group Art Unit 1711
	Mailing Date February 21, 2002	

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	9/61	3,001,970	Ebel et al.			
	2.	3/66	3,240,752	Tamblyn			
	3.	5/68	3,382,183	Donoian et al.			
	4.	2/70	3,493,536	Weisfeld			
	5.	3/70	3,499,869	Lappin et al.			
	6.	4/70	3,506,620	Davis et al.			
	7.	3/75	3,872,042	Bond			
	8.	6/77	4,028,331	Hotta et al.			
	9.	12/8/81	4,304,895	Loshaek			
	10.	1/82	4,311,762	Spycher et al.			
	11.	4/82	4,323,597	Olson			
	12.	6/28/83	4,390,676	Loshaek			
	13.	7/9/85	4,528,311	Beard et al.			
	14.	1/13/87	4,636,212	Posin et al.			
	15.	7/87	4,680,369	Kajimoto et al.			
	16.	1/12/88	4,719,248	Bambury et al.			
	17.	9/88	4,770,905	Silbermann et al.			
	18.	10/88	4,775,733	Kanemura et al.			
	19.	10/10/89	4,872,877	Tiffany			
	20.	1/14/92	5,080,688	Cohen			
	21.	3/24/92	5,098,445	Hung et al.			
	22.	10/97	5,681,871	Molock et al.			
*	23.	3/2001	6,201,061	Amagai et al.			
*	24.	4/2001	6,218,463	Molock et al.			
	25.	6/01	6,244,707	Faubl			

\* DENOTES REFERENCES CITED BY THE EXAMINER

EXAMINER:	DATE CONSIDERED:
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EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

<b>Form PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>		Docket Number 279222000502	Application Number TO BE ASSIGNED
		Applicant  Masahisa KOSAKA	
		Filing Date September 20, 2000	Group Art Unit 1711
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Examiner Initials	Ref. No.	Title
	26.	Japanese Patent Abstract, 01230003 A; Sept., 1989
	27.	Japanese Patent Abstract, 02093422 A; Apr., 1990
	28.	Japanese Patent Abstract, 02171716 A; Jul., 1990
*	29.	Japanese Patent Abstract, 09265059 A; Oct., 1997
	30.	Japanese Patent Abstract, 58122501 A; Jul., 1983
	31.	Japanese Patent Abstract, 62254119 A; Apr., 1999
		OTHER DOCUMENTS <i>(including author, title, Date, Pertinent Pages, Etc.)</i>
*	32.	Wang: Synthesis and properties of benzotriazoles as UV absorbers; Jrnl. of East China University of Science and Technology; 25(2); pages 167-169, 173; Apr., 1999
	33.	Smith et al. Defensive Publication No. 943,009, Feb., 1976

* DENOTES REFERENCES CITED BY THE EXAMINER	
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PTO/SB/ 08 (2-92)	Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE